# Remote Microwave Soil Drought Index Considering Dielectric Properties of Soil

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Abstract-The article presents the innovative remote microwave soil drought index (RMSDI) developed for assessing intensity of soil drought (SD) and tested in the Kulunda arid steppe (West Siberia). RMSDI is based on satellite measurements of brightness and thermodynamic temperatures, including dependences of radio-emissivity on volume fraction of water (W) in soil calculated from soil dielectric characteristics. To define W and RMSDI, we employ the data on brightness temperatures obtained from soil moisture and ocean salinity (SMOS) and thermodynamic temperatures-from moderate resolution imaging spectroradiometer (MODIS). The territories falling within a SMOS pixel are major objects of our study (cell 4010458 discrete geodetic grid (DGG) icosahedral Snyder equal area (ISEA) 4H9). According to the MODIS data, the lakes and water source areas in a SMOS pixel makes up less than 0.1%. The total area of forest and water sources is insignificant (less than 0.1%). By granulometric composition, soils are referred to slit loam and loam ones. We offer the constructed for the test area graphs of seasonal dynamics of brightness and thermodynamic temperatures, radio-emissivity  $(\chi)$ , W, and RMSDI. Dependences of  $W(\chi)$  are given for soils with different values of bound water  $(W_t)$ . The established standardized dependence  $\chi_t(W_t)$  makes it possible to express the value of  $\chi_t$  via  $W_t$ . The satellite sensing data and dielectric characteristics of soils are used to calculate the values of W and RMSDI.

Index Terms—Remote microwave sensing, soil drought (SD), soil moisture and ocean salinity (SMOS), soil volumetric moisture, West Siberia.

## I. INTRODUCTION

**S** OIL droughts (SDs) are hazardous natural phenomena, which occur at soil moisture (SM) content insufficient for normal plant growth. Regionally, these events differ in meteorological conditions, climate features, atmospheric circulation, and soil properties. Prolonged droughts, periodically observed worldwide in many agrarian regions, contribute to crop yield reduction that brings to rise in crop production costs.

Remote monitoring of SD is based on the laws of reflection, emissivity, and absorption of electromagnetic waves by soil and vegetation. To estimate SD, numerous remote indices in the optical range have been developed. However, their application is limited because of dependence on cloudiness, water vapor in the atmosphere, and precipitation [1], [2], [3], [4].

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To improve accuracy and reliability of optical remote monitoring methods, drought indices (DIs) are identified using sensitive to change SM remote data in the microwave range [5], [6]. SM is insufficiently informative though along with soil temperature it is the main parameter that quantitatively characterizes SD. For example, salt marshes and takyrs have high SM, but their vegetation cover is either extremely scarce or absent at all.

Remote indices based on the use of the AMSR-E data in cm and mm bands have become widespread [7], [8]. Surface roughness and dielectric constant of soil are taken into account in the advanced microwave scanning radiometer (AMSRE) L3 data-based monitoring of the Tibetan Plateau drought [9]. In order to monitor the drought process in China using the AMSR-E data [10], a remote index of drought (RID) based on the relationship between drought and changes in SM qualitatively reflecting drought development and its spread have been proposed. To calculate RID for the studied period, the minimum/maximum values of SM are chosen and compared with those of RID (from 0 to 100). In [11] and [12] the AMSR-E data and normalized multiband DI are used to obtain a combined SM. To monitor the strongest (over the past 100 years) drought in China (2009-2010), the microwave polarization index based on AMSR-E data [13] is employed.

In [14], different DI derived from the data of moderate resolution imaging spectroradiometer (MODIS), AMSR-E, etc., are compared. The findings suggest that in varying climate conditions these indices have their own strengths and weaknesses. For global monitoring of SM, the data of ASCAT installed on the METOP series satellites are used. The ASCAT data contribute to the real-time monitoring of droughts on a global scale, the identification of anomalies in SM and estimation of the drought severity index [15], [16]. Hernández-Sánchez et al. [17], present the relationship between the microwave polarization DI and the soil water deficit index using soil moisture active passive (SMAP) mission to define drought periods over a rainfed agricultural area. In [18] and [19], to reduce a pixel size, the SMAP data and the results of radar observations (sentinel-1) are used.

The standardized brightness temperatures  $(T_B)$  index based on the SM and ocean salinity (SMOS) data and distinguished by better spatial-temporal resolution is described in [20]. In [21], SM calculated from the SMOS data is compared with meteorological indices for drought monitoring in northeastern China. It is found that SM correlates with the standardized

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Fig. 1. Map of the study area: 4 010 458-the cell of DGG ISEA 4H9, black and white outline-a SMOS pixel.

precipitation index, the standardized precipitation and evapotranspiration index, and the SM anomaly percentage index. In [22], the improved DI based on passive microwave remote sensing (satellite FengYun) and optical/infrared data (MODIS) is proposed. This DI is a combination of the underlying surface T, SM and vegetation indices.

The SM water use efficiency index presented in [23] rests upon the use of SM data and normalized difference vegetation index (NDVI) for assessing susceptibility of an area to drought. NDVI is employed to identify the areas with low vegetation biomass. In Zhang et al. [24], describe the multiple remote sensing drought index based on remote sensing of SM, precipitation, and NDVI. The data on SM, precipitation, and land surface temperature are also used to calculate the microwave-integrated DI [25].

A remote method for determining drought due to  $T_B$ measurements (SMOS, AMSR-E/AMSR2) and estimations of water reserves according to the gravity recovery and climate experiment (GRACE) data is proposed in [26].

The SM anomaly percentage index is applied in monitoring of agricultural drought in India (2002-2014), as well as in spatiotemporal analysis of SM with the use of the AMSR-E (2002-2010) and SMOS (2010-2014) data [27], [28]. In [29], a review of microwave methods for remote monitoring of agricultural drought resting on the SMOS, SMAP, ASCAT, and AMRS-E data is presented; the main indicators of droughts taking into account SM are described. The shielding effect of vegetation cover on the underlying surface emissivity is considered. In [30], a review of DI is given. Interestingly, none of the existing indices can predict drought with high accuracy and reliability, especially in modern conditions of unpredictable climate change.

The main goal of our study is to develop a remote microwave soil drought index (RMSDI) taking into account emissivity characteristics of specific soils, as well as the phase composition and dielectric characteristics of SM.

### II. DATA AND METHODS

This article describes the developed RMSDI tested in Altai Krai. Field studies were carried out in the Kulunda steppe (KS) (Fig. 1).

The research methodology was discussed in detail in [31]. Here, seasonal dynamics of  $T_B$  (L1C) were studied in cell 4 010 460 discrete geodetic grid (DGG) ISEA 4H9 located

to the north from cell 4 010 458 of the present work and characterized by different physical and dielectric properties of soil. The error range for the selected cells of DGG ISEA 4H9 (diameter: 16 km) and the test area (width: 300 km) was within ±3 K [32], [33].

The SMOS L1C dataset (MIR\_SCLF1C products, versions 620 and 724) contained brightness temperatures correlated with the Earth surface radiation within individual cells of the ISEA 4H9 DGG and recorded by MIRAS antennas above the atmospheric surface at different incidence angles, as well as their values needed for further computational procedures (layers incidence\_angle, azimuth\_angle, geometric\_rotation\_angle, faraday\_rotation\_angle). The conversion of  $T_B$  values from the antenna-related coordinate system (BT\_Value\_X, BT\_Value\_H) to the surface-related one (BT\_Value\_H, BT\_Value\_V), the so-called "rotation" of the polarization vector, was performed using the SMOS-BOX package version 5.8.1 in the SNAP software environment. The description of this procedure was given in [33].

We used the SMOS L2SM dataset (MIR SMUDP2 products, version 700) containing the soil\_moisture layer (estimate of SM in soil layer) presented in [33]. From the analyzed data array we excluded: 1) values burdened by the influence of radio frequency interference (according to the quality flag RFI1); 2) values obtained outside the Alias Free (AF) region, free from overlapping image replicas (by the AF flag); 3) data with  $T_B$  errors exceeding 5 K; and 4) data with polarization coefficient  $(T_{\rm BH}/T_{\rm BV})$  outside the range of 0.01–0.99.

For the regional monitoring of SD, satellite measurements of brightness  $(T_B)$  and thermodynamic (T) temperatures, field measurements of volume fraction of water (W) in soil, laboratory measurements of the refractive index (n) and the absorption factor ( $\kappa$ ) were employed. The relationship between  $T_B$  and n,  $\kappa$  of soil was given in [34]. L1C SMOS [35] was involved in measuring  $T_B$  on horizontal polarization at angle of  $\theta = 42.5^{\circ}$ . The L1C data were represented as DGG ISEA 4H9 [36] (Fig. 1). The linear cell size and area made up 16 km and 195 km<sup>2</sup>; the longitudinal and transverse resolution of the L1C product-64 and 35 km, respectively.

Values of T were estimated from MOD11A1daily data available in the database LP DAAC (https://lpdaac.usgs.gov). This product contained values of T with a resolution of 1 km and a fixed measurement time [37]. Time difference between MODIS and MIRAS (SMOS) measurements did not exceed 2 h. The resolution of MIRAS (40 km<sup>2</sup>) and MODIS (1 km<sup>2</sup>) varied significantly. The MODIS data analysis showed minor (2 K) variations of T within each SMOS grid cell. Therefore, the MODIS product resolution can be lowered to the level of the SMOS data by means of data smoothing. To minimize differences between soil and vegetation temperatures, we used morning measurements when their temperatures were approximately the same.

The selected major sites fell into a MIRAS pixel (cell 4 010 458). The study area was a flat plain covered by stunt vegetation with negligible biomass. During the measurement period, vegetation suffered from insufficient moisture caused by drought. Lots of plants perished because of water deficit. According to the MODIS data, the lakes and water source areas in a SMOS pixel accounted for less Authorized licensed use limited to: Andrey Romanov. Downloaded on November 28,2023 at 05:38:17 UTC from IEEE Xplore. Restrictions apply.

than 0.1%. The total area of forest was also insignificant. According to the United States Department of Agriculture (USDA) classification, the study area was represented by silt loam and loam. In natural conditions, density ( $\rho$ ), temperature (t), and volume fraction of water (W) in the surface soil layer (0–5 cm) varied as  $\rho = 1.06-1.35$  g/cm<sup>3</sup>;  $\rho_{dry} = 1.05-1.2$  g/cm<sup>3</sup>;  $W = 0.05, \ldots, 0.28$  cm<sup>3</sup>/cm<sup>3</sup>;  $t = 18, \ldots, 60$  °C.

The data of the long-term agrohydrological observations were evidence of weak SD recorded at  $W \le 0.08 \text{ cm}^3/\text{cm}^3$ , and severe drought-at  $W \le 0.055 \text{ cm}^3/\text{cm}^3$ . Biologically determined wilting point varied from 0.084 to 0.091 cm $^3/\text{cm}^3$  (at  $\rho_{\text{wet}} = 1.08-1.15$  and  $\rho_{\text{dry}} = 1.0-1.07 \text{ g/cm}^3$ ).

According to [32], at remote sensing in the decimeter range from SMOS (1.4 GHz and low spatial resolution) of poorlymoistened soils of the dry steppe zone with a flat surface and low vegetation biomass, the following formula may suit:

$$T_B = \chi \cdot T_{\rm ef} \tag{1}$$

where  $\chi$ ,  $T_{\rm ef}$  are the emissivity and effective temperature of the skin layer of the underlying surface,  $\chi = 4n/((n+1)^2 + \kappa^2)$ . To establish experimental dependences  $\chi(W)$ , we measured n,  $\kappa$  of soils,  $n + i\kappa = \sqrt{\epsilon}$ ,  $\epsilon' = n^2 - \kappa^2$ ,  $\epsilon'' = 2n\kappa$  ( $\epsilon'$ ,  $\epsilon''$  are the real and imaginary parts of complex permittivity  $\epsilon$ ).

On the soil surface, *T* depended on weather conditions and varied during the day from 298 to 333 K in summer. From the depth of 15–20 cm, *T* stabilized and changed within 296–298 K during the day. SMOS flew over the study area at 07:00 in the morning and at 20:00 in the evening local time. To perform an experiment, we selected the morning flyby data. By this time, *T* of the soil surface cooled down to 298–303 K. The experimentally established dependence T(Z) has the form

$$T = 299.92 - 0.07378 \cdot Z, \quad 0 \le Z \le 70 \text{ cm}$$

To calculate  $T_{\rm ef}$ , we used the ratio derived for the study area

$$T_{\rm ef} = T_0 - 0.07378/(0.13644 + 3.3354 \cdot W_Z)$$
(2)

where  $W_Z$  is the gradient W in the layer Z. In summer, the dependences  $W_Z$  have the form  $W_Z = W_0 \pm A \cdot Z$ , where  $A = (2-6) \ 10^{-4}$  – the empirical coefficient depending on weather conditions.

This ratio was derived for the study (certain) territory for the morning soil temperature gradient. Note that its application to other hours and territories would require some adjustments. As follows from relation (2), any volumetric moisture in the skin layer is  $L_{\rm ef} \Delta T = (T_{\rm ef}-T_0) < 1$  K.

To establish experimental dependences  $\chi(W)$ , we measured  $n, \kappa$  of soils. A detailed description of the laboratory setup and the technique of dielectric measurements was given in [38] and [39]. To measure dielectric properties, we used a bridge-type laboratory setup based on the FK2-18 phase-difference measuring device (Fig. 2) consisting of major elements: G—a high-frequency signal generator G4-78 (1.16–1.78 GHz), MPD—a matched power divider, LVL—a transmission line of variable length, A1, A2, A3—matching coaxial attenuators, I–a gauge unit of a phase meter, A—a reference channel, B—a measuring channel with a container (C) for the sample.



Fig. 2. Scheme of a bridge-type laboratory setup based on a phase-difference measuring device.

The container was configured as a coaxial waveguide. The signal produced by the generator was transferred to MPD and shared equally between the reference (A) and the measuring (B) channels. In the absence of the test specimen in the container, a zero value of the phase difference and amplitudes was set on the phase meter. Next, the tested sample was placed into the container; the phases and attenuation were measured by the phase-meter indicator.

To describe dc of samples, we applied the *n* and  $\kappa$ . Soil samples were placed in a completely filled measuring container (the brass coaxial waveguide of 37 mm long, with diameters of the outer shell and inner core of 16 and 7 mm, respectively) with further measuring the module and phase of complex transmittance of electromagnetic waves (1.41 GHz) through the tested specimen. A measurement resolution of the phase difference in signals ( $\varphi$ ) made up 0.2°, attenuation (A) = 0.2 dB. Phase measurement errors  $\Delta \varphi \leq (1 + 0.034 \varphi_{\text{limit}} + 0.075 \text{ A})$ , attenuation  $\Delta A \leq (0.5 + 0.02 \text{ A}_{\text{limit}} + 0.03 \text{ A})$ , where  $\varphi_{\text{limit}} = 6^\circ$ ,  $A_{\text{limit}} = 3$  were limit values of the scales used.

The sources of probable measuring errors for dielectric and emissivity characteristics of soil may be: 1) inaccuracies in determining the specimen length; 2) incomplete filling of a waveguide container with specimens; and 3) variations in the density of different samples. It should be noted that a properly prepared specimen minimizes all the errors.

For a quantitative description of water contained in the samples, we used volume  $(W = V_W/V \text{ [cm^3/cm^3]})$  and mass  $(W_M = M_W / M[g/g])$  fractions related as:  $W = (\rho_{wet}/\rho_w) \times W_M$ , where V,  $V_W$  – the volumes of wet soil and water;  $M = M_{dry} + M_W$ , M,  $M_{dry}$ ,  $M_W$ -the mass of wet, dry samples and water;  $\rho_{wet}$ ,  $\rho_W = 1$  [g/cm<sup>3</sup>]-the densities of soil and water.

The equipment was calibrated before and after the measurements. On completing dielectric measurements, the sample was extracted from the container and weighed on analytical balance to the nearest 0.0001 g. To change W in the range  $W > W_t$ , a soil specimen was dehydrated at room temperature for 1–10 min, while at  $W < W_1$  it was kept in a drying chamber at 105 °C.

Dielectric properties of soil were measured at gradual drying at W of 0.45–0.006 cm<sup>3</sup>/cm<sup>3</sup>. Before measuring, each sample was ground and thoroughly mixed till the unified condition. Depending on W and packing density in the measuring container, the mass of study samples made up 7–10 g,  $\rho_{wet} =$ 1.2–1.4 g/cm<sup>3</sup>,  $\rho_{dry} = 1.1–1.3$  g/cm<sup>3</sup>.





Fig. 3. Dependences of *n*,  $\kappa$  on *W* ( $\rho_{dry} = 1.06$  g/cm<sup>3</sup>). (a) and (b) Dependences of  $\chi$  (1–*v*-polarization, 2–*h*-polarization) on *W*.

TABLE I NUMERICAL VALUES OF n,  $\kappa$  for Differen W

no	$n_t$	n <sub>w</sub>	<b>K</b> 0	κ <sub>t</sub>	$\kappa_{\rm w}$
1.25	1.87	4.22	0.001	0.22	0.41

To validate satellite data, we collected soil samples at test sites and measured SM using the gravimetric method in laboratory conditions. The time difference between soil sampling and satellite flyby over the given territory did not exceed several hours. For comparison, we used the data obtained from the weather station.

#### **III. RESULTS**

For the study territory, the generalized dependence  $(n, \kappa)(W)$  [Fig. 3(a)] was approximated by straight lines and calculated from the measured  $n, \kappa$  of soils falling into a MIRAS pixel. Dielectric measurements were carried out within the range  $W = 0 - W_{\text{max}} = 0.45 \text{ cm}^3/\text{cm}^3$ . Two intervals of W with different behaviors of n and  $\kappa$  are distinguished on the graphs:  $0-W_t$  and  $W_t-W_{\text{max}}$ .  $W_t = 0.11 \text{ cm}^3/\text{cm}^3$ corresponds to the moisture content at the transition from bound to free water. Dielectric properties of bound and free water differ markedly [40], [41]. Table I represents values of  $n_0, \kappa_0$  ( $W = 0, \rho_{\text{dry}} = 1.06 \text{ g/cm}^3$ ),  $n_t, \kappa_t$  ( $W = W_t$ ),  $n_w, \kappa_w$ ( $W = W_{\text{max}}$ ) [derived from Fig. 3(a)]. Using the established relationships, the inverse dependence  $W(\chi)$  can be represented

TABLE II Compliance of W and RMSDI With a Degree of Moisture Used in Agrometeorology

N	W, [cm <sup>3</sup> /cm <sup>3</sup> ]	RMSDI	Moisture degree	
1	0.000 - 0.026	-1.0000.776	Severe soil drought	
2	0.026 - 0.047	-0.7760.595	Weak soil drought	
3	0.047 - 0.08	-0.5950.310	Insufficient hydration (strong)	
4	0.08 - 0.112	-0.3100.034	Insufficient hydration (weak)	
5	0.112 - 0.16	-0.034 - 0.132	Optimum hydration	
6	0.160 - 0.340	0.132 - 0.670	Excessive hydration	
7	>0.34	>0.67	Swamping	



Fig. 4. RMSDI (W) dependence: 1-7-moisture degree (Table II).

as follows:

$$W = \begin{cases} A - B \cdot \chi, & \chi_t \le \chi \le \chi_0 \\ C - D\chi \cdot \chi, & \chi_w \le \chi_t. \end{cases}$$
(3)

For horizontal polarization and  $\theta = 42.5^{\circ}$ ,  $\chi_0 = 0.94$ ;  $\chi_t = 0.81$ ,  $\chi_w = 0.50$  after simple transformations, let us write relation (3) in the standardized form

$$W = \begin{cases} W_t \frac{\chi_0 - \chi}{\chi_0 - \chi_t}, & \chi_t \le \chi \le \chi_0 \\ W_t + (W_{\max} - W_t) \frac{\chi_t - \chi}{\chi_t - \chi_w}, & \chi_w \le \chi \le \chi_t. \end{cases}$$
(4)

The value of  $\chi_t$  can be obtained from simultaneous remote measurements of  $\chi$  and field measurements of W, or from dielectric measurements of soil permittivity at different values of W. It is worth noting that field measurements of W are rather laborious; dielectric measurements require specialized equipment and software provision. In contrast to  $\chi_t$ , the measurements of  $W_t$  are easily performed by most soil laboratories.

For a wide practical application of relations (3) and (4), we approximate  $\chi_t(W_t)$  (*h*-polarization) with a linear dependence

$$\chi_t = 0.62521 - 0.69621 \cdot W_t, \quad \sigma = 0,0097, \ R = -0.97$$
(5)

where *R* is the correlation coefficient,  $\sigma$  is the root mean square error.



Fig. 5. Seasonal dynamic of T<sub>B</sub> (1), T (2), W (3), algorithm SMOS (4), natural field measurements (5), wilting point (6).



Fig. 6. Seasonal dynamics of W (circles), RMSDI (squares): 1-7-moisture degree (Table II).

SD occurs at  $W \le W_t$ . In this case, only inaccessible (to plants) bound water is present in the soil. The value  $\chi_t$ may serve as a radio-physical characteristic of SD. Values of  $\chi$  correspond to the following regimes of SM:  $\chi_t \le \chi \le \chi_0$ the lack of water in soil-drought;  $\chi_w < \chi \le \chi_t$  – the amount of water sufficient for plants. SD conditions are realized when  $\chi_t \le \chi$ , reaching its maximum at  $\chi \approx \chi_0$ . To assess the degree of SM (including drought and waterlogging), we introduce the RMSDI as the ratio of interval lengths in different moisture ranges

$$\text{RMSDI} = \begin{cases} \frac{\chi_t - \chi}{\chi_0 - \chi_t}, & \chi_t \leq \chi \leq \chi_0\\ \frac{\chi_t - \chi}{\chi_t - \chi_w}, & \chi_w \leq \chi \leq \chi_t. \end{cases}$$

The relation between RMSDI and W

$$\text{RMSDI} = \begin{cases} (W/W_t - 1), & \chi_t \le \chi \le \chi_0 \\ (W - W_t)/(W_{\text{max}} - W_t), & \chi_w \le \chi \le \chi_t. \end{cases}$$

Table II shows the numerical values of W and RMSDI corresponding to gradations of moisture degree of the territory used in agrometeorology. RMSDI (W) dependence is shown in Fig. 4.

Figs. 5 and 6 present graphs of seasonal dynamics of  $T_B$ , T, W, and RMSDI for the test site in 2022. Values of W and RMSDI were calculated from satellite measurements of  $T_B$  (SMOS) and T(MODIS) using (1) and (2). It can be seen that  $W < W_t = 0.11 \text{ cm}^3/\text{cm}^3$  for a significant part of the summer season. RMSDI proves the lack of SD in this area (Fig. 6).

In Fig. 6, dotted lines mark RMSDI intervals corresponding to different gradations of moisture degree. In this area, soil wetness (SW) is insufficient almost during the entire growing season, including the periods of weak and severe drought. Field measurements of W prove this fact (Fig. 5).

The error of remote determination of W depends on density, texture, salinity of soils, landscape diversity, and vegetation biomass. To estimate W, the L2SM SMOS algorithm is used with a declared error less than 0.04 cm<sup>3</sup>/cm<sup>3</sup> [33]. From Fig. 6 it follows that in contrast to  $W_{\rm NF}$  (natural field measurements),  $W_{\rm SMOS}$  values show greater data scattering than W. In some cases, the discrepancy between W,  $W_{\rm SMOS}$ , and  $W_{\rm NF}$  can be associated with precipitation occurring during the time between the satellite and field measurements of W.

Generally, the application of the L2SM SMOS algorithm for estimating SM values suits researchers and end users.



Fig. 7. Schematic maps of W. (a) RMSDI. (b) L-Lakes Kulundinskoye and Kuchuk; F-relict belt forests.

Unfortunately, in some cases, for solving practical problems and when dealing with specific sites of the Earth surface commensurate in area with the grid cell size, a satisfactory agreement between the values of Soil\_Moisture of the MIR\_SMUDP2 product and contact measurements of moisture in effectively radiating soil layer may be absent. For such special cases, adjustment of models and algorithms of SMOS is ill-advised. Therefore, the development of alternative algorithms based on the SMOS data of the first processing level ensuring a satisfactory result in the mentioned particular cases is still of interest.

Based on the results of satellite measurements of  $T_B$ , T, and dependences  $W(\chi)$ , we have constructed the schematic maps of spatial distribution of W and RMSDI (for  $W_t = 0.11$ ) (Fig. 7).

Fig. 7 presents the schematic maps of spatial distribution of W and RMSDI for the territory in the south of West Siberia and Kazakhstan (June 19, 2022) in order to assess its moisture content and detect sites with a deficit of W. Though the drought index and SM are interrelated, they are intended for different tasks and purposes. The drought index expresses drought quantitatively according to the level of moisture gradation accepted in agrometeorology (Fig. 3). Unlike RMSDI, W is a characteristic of soil. The same W for soils with different  $W_t$  can be classified as drought and as waterlogging (for sand:  $W_t = 0.02$ , for silt:  $W_t = 0.25-0.30$ ).

# IV. DISCUSSION

The algorithms for calculating W and RMSDI were tested in the landscape-homogeneous site. In this study, we did not pose the problem to consider landscape diversity (mosaicism) since this technique was well developed before.

The distinguishing feature of RMSDI is the use of dielectric measurements in calculations of  $\chi_t$  and  $W_t$ , the microwave satellite data–in estimation of  $T_B$  and infrared–in T. To do that,

we employ the SMOS L1C and MODIS data. The centimeterrange satellite data (AMSR-E, ASCAT, etc.) can be used to calculate RMSDI. In this case, the skin layer does not exceed 1–5 cm, in contrast to the decimeter range (SMOS, SMAP) where the skin layer can reach 15–20 cm (rooted soil layer). Since the surface soil layer (1–2 cm) in summer often contains less moisture than the underlying root one (15–20 cm), the use of  $T_B$  satellite data (in the centimeter range) and related calculated low values of SM can lead to misdiagnosis of drought.

An important factor for the widespread practical application of RMSDI is a feasible replacement of highly specific dielectric measurements of  $\chi_t$  and  $W_t$  [Fig. 3(a) and (b)] by  $\chi_t$  calculated from standard soil measurements  $W_t$   $W_{wp}$ (wilting point) that ensures the data availability (to end users) required for calculating RMSDI.For any DI, it is important to determine the boundaries of its applicability. RMSDI tested in the arid areas demonstrates a good agreement with the data (own and from weather stations) on field measurements of W. As shown in Fig. 4 and Table II, RMSDI operating in the range  $W = 0 - W_{\text{max}}$  can also be used to detect waterlogged areas. At high values of W, the developed algorithm ensures the results close to  $W_{\text{SMOS}}$  and  $W_{\text{NF}}$  (Figs. 5 and 6). For the areas with high vegetation biomass (forest, shrubs, sunflower and corn crops), the necessity in using the algorithms [33] for data correction may arise.

The applicability of RMSDI to different soil types in different geographic areas has been not studied yet. The limited use of the developed algorithm can be associated with very low or very high values of  $W_t$  for stony, sandy ( $W_t \le 0.02$ ) and clay soils ( $W_t \ge 0.30$ ).

# V. CONCLUSION

Accuracy in remote identification of W is largely limited since different types of the underlying surface (water body, forest, soil) hit the radiometer pixel, the dimensions of which are smaller than its resolution.

In contrast to other similar indices, RMSDI qualitatively assesses drought with allowance made for dielectric properties of specific soils. A reliable use of RMSDI depends on the determined accuracy of dependencies n(W),  $\kappa(W)$ ,  $\chi(W)$ ,  $\chi_t$ ,  $W_t$ .

The advantage of RMSDI is its ease of use: 1) RMSDI is calculated from the available SMOS and MODIS data; 2) evaluation of input parameters  $\chi_0$ ,  $\chi_t$ ,  $\chi_w$  is implemented via the standard definition of  $\rho_{dry}$ ,  $W_t$ ; and 3) values of  $\chi_w$  for different soils are within the error. Seasonal RMSDI-based analysis of the intensity and duration of droughts is feasible due to the satellite data accumulated for the period of study (the daily data is not strictly necessary).

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